

Application of generator coordinate method with neutron-proton pairing amplitudes to nuclear matrix elements

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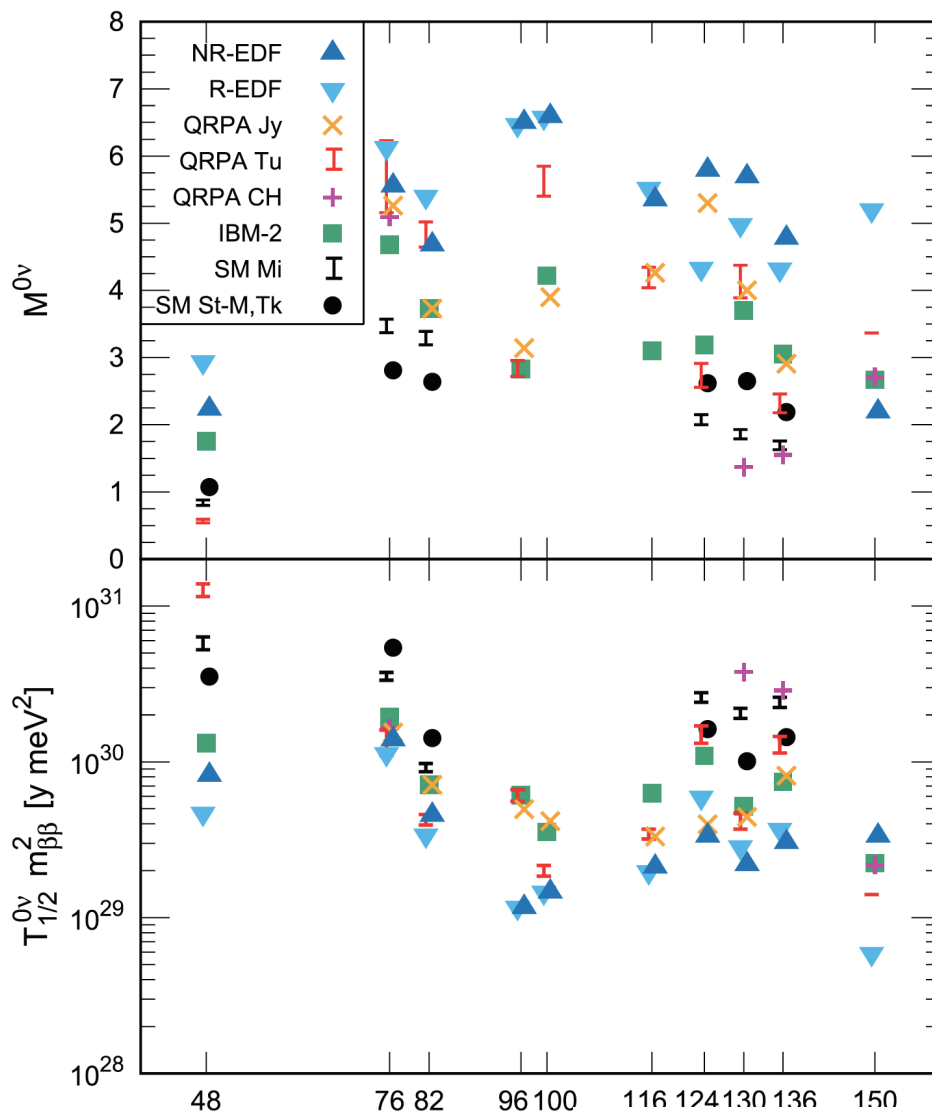


Outline

- Introduction
- Generator coordinate method
- Application to SO(8) model, ^{76}Ge double-beta decay
- Future plans
- Summary

Status of nuclear matrix element calculations

Engel and Menéndez, Rep. Prog. Phys. **80**, 046301 (2017)



$$(T_{1/2}^{0\nu})^{-1} = G_{0\nu}(Q_{\beta\beta}, Z) |M_{0\nu}|^2 \langle m_{\beta\beta} \rangle^2$$

Origin of differences

- ❑ decay operator
- ❑ many-body theory (correlations)
- ❑ single-particle model space
- ❑ effective interaction

Origin of differences

□ Shell model

- full many-body correlations
- relatively small single-particle model space
- effective interaction

□ Quasiparticle random-phase approximation (QRPA)

- two-quasiparticle correlations
 - breaks down at the phase transition
- large single-particle model space
- effective interaction/EDF
- neutron-proton pairing

□ Generator coordinate method (GCM)

- selected collective correlations (basically quadrupole)
- large single-particle model space
- closure approximation necessary
- effective interaction/EDF

Generator coordinate method

Generator Coordinate Method

superposition of the projected mean fields (GCM basis) along generator coordinates q

$$|\Psi(N, Z, I = 0, M = 0)\rangle = \sum f_k(q) |\phi_{I=0, M=0}^{N, Z}(q)\rangle$$

initial/final ground state q weight function

Hill-Wheeler equation: Schrödinger eq. for many-body states

$$\hat{H}|\Psi_k\rangle = E_k|\Psi_k\rangle$$



$$\sum_{q'} \{\mathcal{H}(q, q') - E_k \mathcal{I}(q, q')\} f_k(q') = 0$$

Hamiltonian kernel $\mathcal{H}(q, q') = \langle \phi_{I=0, M=0}^{N, Z}(q) | \hat{H} | \phi_{I=0, M=0}^{N, Z}(q') \rangle$

Norm kernel $\mathcal{I}(q, q') = \langle \phi_{I=0, M=0}^{N, Z}(q) | \phi_{I=0, M=0}^{N, Z}(q') \rangle$

Three steps for GCM calculations

Generator Coordinate Method

superposition of the projected mean fields (GCM basis) along generator coordinates q

$$|\Psi(N, Z, I = 0, M = 0)\rangle = \sum_q f_k(q) |\phi_{I=0, M=0}^{N, Z}(q)\rangle$$

initial/final ground state weight function

step 1: constrained HFB calculation to generate GCM basis

correlations along important coordinates

(q : collective properties, deformation, pairing, ...)

mean field breaks symmetries (rotational, particle-number) → projections

step 2: projected two-body matrix elements

$$\mathcal{H}(q, q') = \langle \phi_{I=0, M=0}^{N, Z}(q) | \hat{H} | \phi_{I=0, M=0}^{N, Z}(q') \rangle \quad \mathcal{I}(q, q') = \langle \phi_{I=0, M=0}^{N, Z}(q) | \phi_{I=0, M=0}^{N, Z}(q') \rangle$$

$$\mathcal{T}(q, q') = \langle \phi_{I=0, M=0}^{N-2, Z+2}(q) | \hat{M}_{0\nu} | \phi_{I=0, M=0}^{N, Z}(q') \rangle$$

step 3: Hill-Wheeler eq. to determine $f(q)$ for the ground states

$$\sum_{q'} \{ \mathcal{H}(q, q') f_k(q') - E_k \mathcal{I}(q, q') \} f_k(q') = 0$$

Remarks

Generator Coordinate Method

superposition of the projected mean fields (GCM basis) along generator coordinates q

$$|\Psi(N, Z, I = 0, M = 0)\rangle = \sum_q f_k(q) |\phi_{I=0, M=0}^{N, Z}(q)\rangle$$

initial/final ground state q weight function

GCM basis is not orthogonal

Hill-Wheeler equation $\mathcal{H}f_k = E_k \mathcal{I}f_k$

weight function $\longrightarrow$ $f = \mathcal{I}^{-1/2}g$ $\longleftarrow$ collective wave function
no physical meaning

Hill-Wheeler equation $(\mathcal{I}^{-1/2}\mathcal{H}\mathcal{I}^{-1/2})g_k = E_k g_k$
for collective wave function

small norm problem

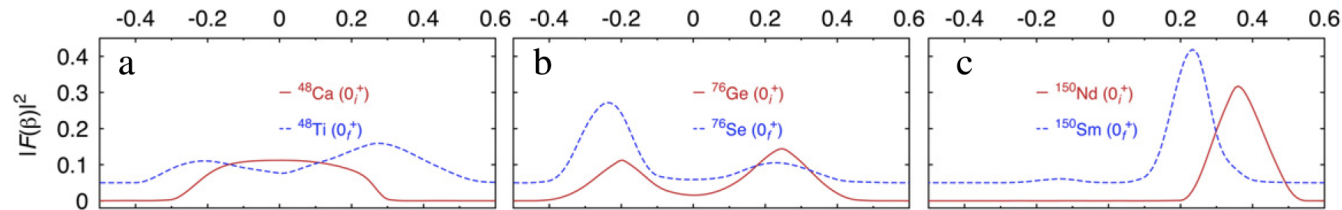
small (zero) eigenvalues in norm kernel cause numerical instability
cutoff is introduced in norm eigenvalues to avoid the problem

GCM collective wave functions (1-dim)

Generator coordinate: axial quadrupole deformation

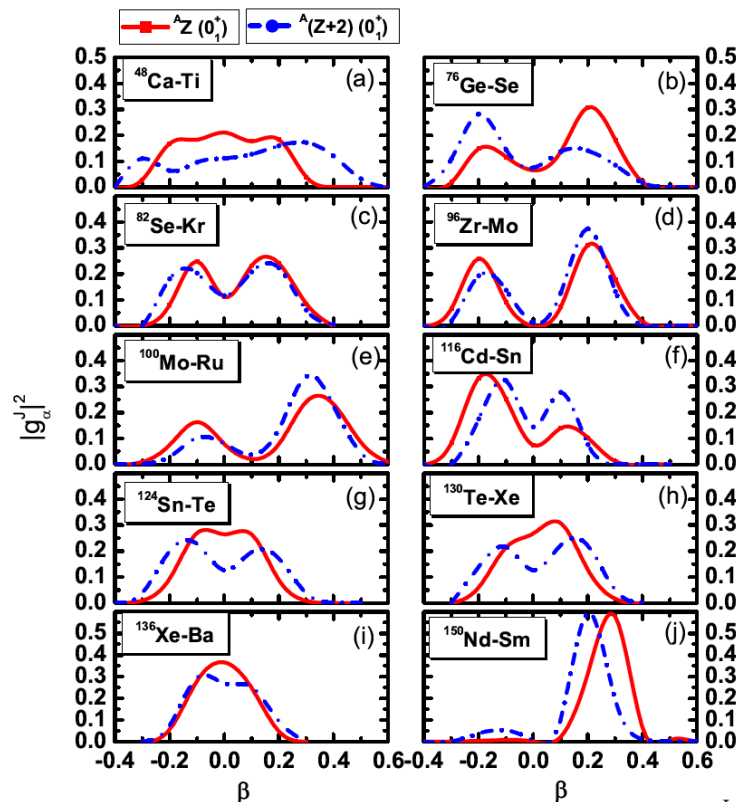
Gogny D1S

T. Rodriguez and G. Martinez-Pinedo, Prog. Part. Nucl. Phys. **66**, 436 (2011)



covariant density functional theory

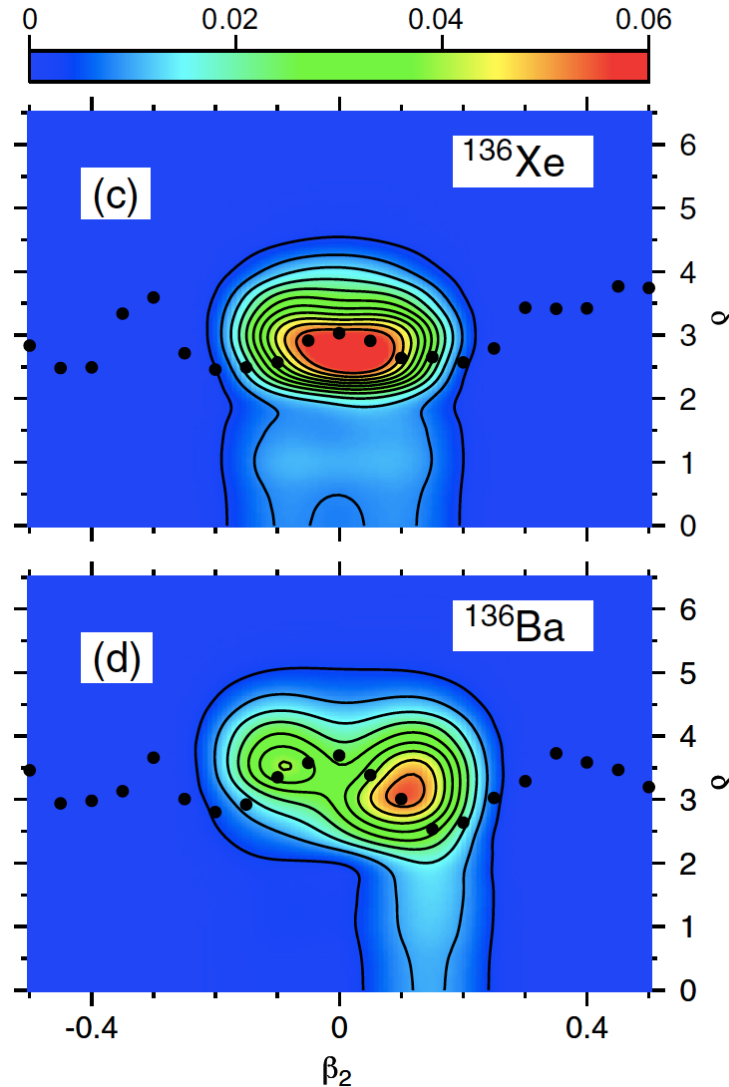
Yao et al. Phys. Rev. C **91**, 024316 (2015)



GCM collective wave functions (2-dim)

GC: axial quadrupole deformation and isovector like-particle pairing

Vaquero, Rodriguez, Egido, Phys. Rev. Lett. **111**,142501 (2013)

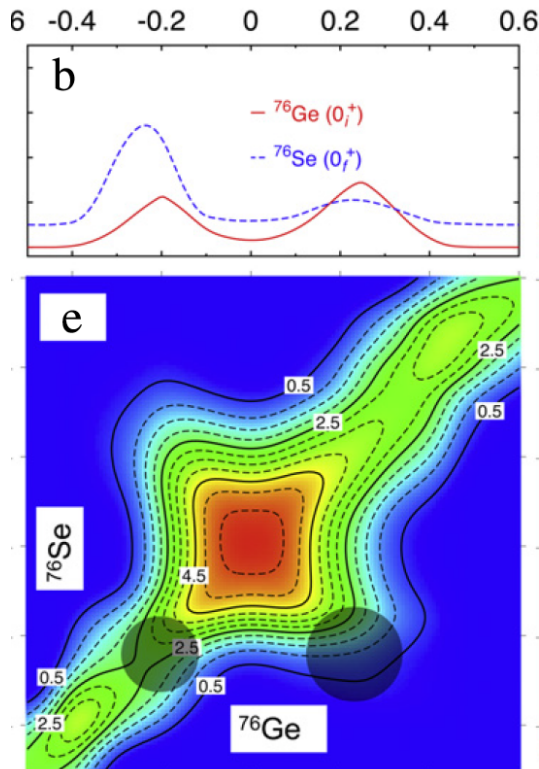


Normalized nuclear matrix elements

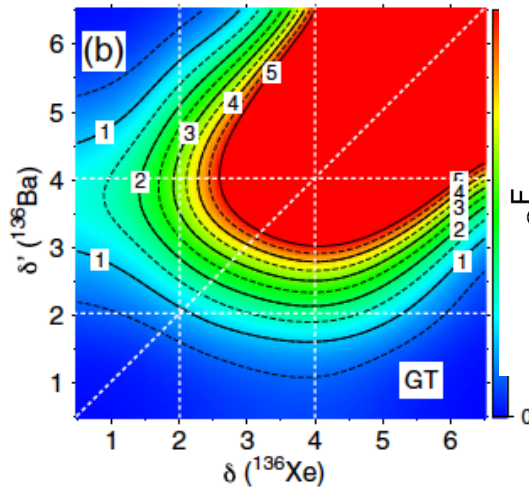
$$M^{0\nu} = \langle N-2, Z+2, I=0 | \hat{M}^{0\nu} | N, Z, I=0 \rangle \quad \mathcal{T}(q, q') = \langle \phi_{I=0, M=0}^{N-2, Z+2}(q) | \hat{M}_{0\nu} | \phi_{I=0, M=0}^{N, Z}(q') \rangle$$

$$= \sum_{qq'} \frac{f_F^*(q) \mathcal{T}(q, q') f_I(q')}{\sqrt{\mathcal{I}_F(q, q) \mathcal{I}_I(q', q')}} = \sum_{qq'} f_F^*(q) \tilde{\mathcal{T}}(q, q') f_I(q')$$

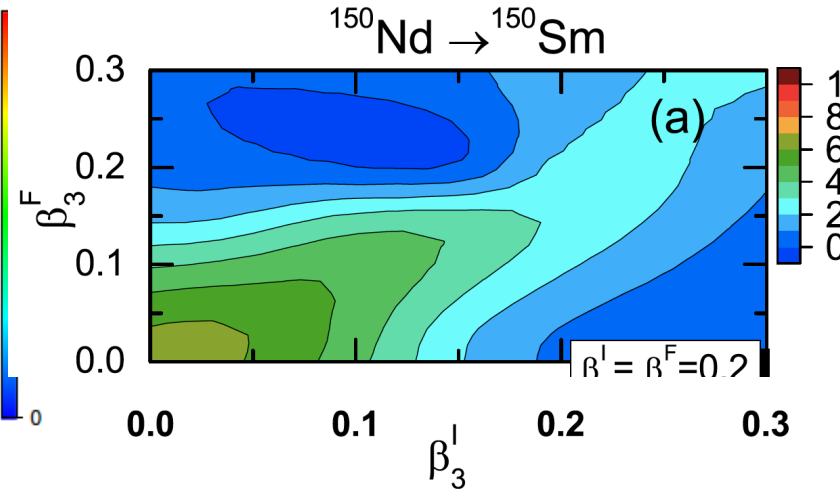
quadrupole deformation
Gogny D1S



isovector pairing
Gogny D1S



octupole deformation
covariant DFT

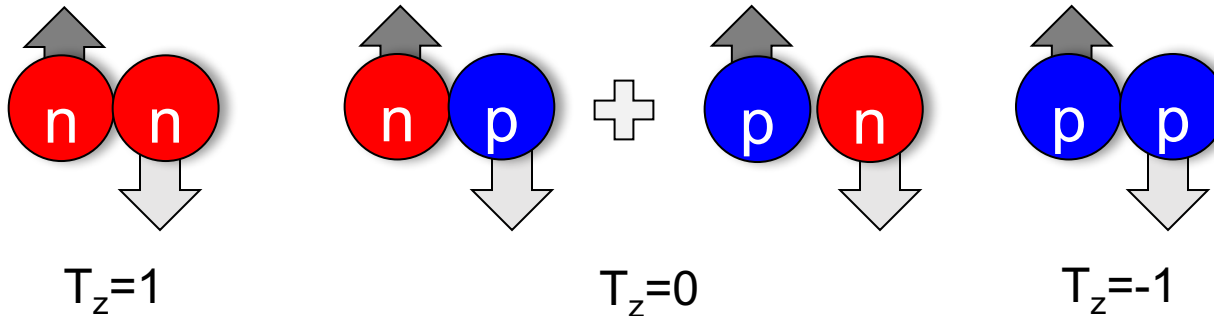


T. Rodriguez and G. Martinez-Pinedo, Prog. Part. Nucl. Phys. **66**, 436 (2011)
 Vaquero, Rodriguez, Egido, Phys. Rev. Lett. **111**, 142501 (2013)
 Yao and Engel, Phys. Rev. C **94**, 014306 (2016)

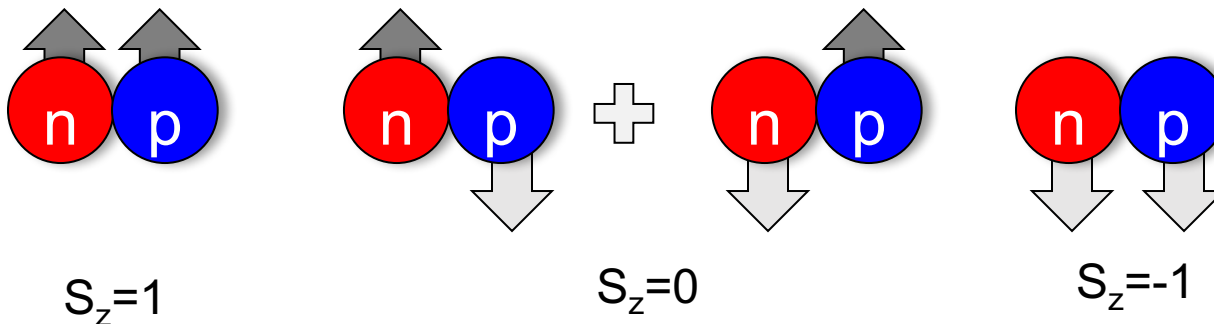
Neutron-proton correlation

neutron-proton pairing

Isovector ($T=1, S=0$) pairings $\rightarrow$ Fermi matrix element



Isoscalar ($T=0, S=1$) pairings $\rightarrow$ Gamow-Teller matrix element



$\sigma\tau$ (Gamow-Teller type) particle-hole ($T=1, S=1$)

$\rightarrow$ Gamow-Teller matrix element

neutron-proton pairing suppresses the nuclear matrix elements (QRPA)

neutron-proton pairing and $\sigma\tau$ correlations are not included in GCM (REDF/NREDF)

GCM for nuclear matrix element

GCM with quadrupole deformation and
np pairing degrees of freedom
with a simple shell model interaction (P+Q model)

GCM basis with neutron-proton pairing generator coordinate

Generalized Hartree-(Fock)-Bogoliubov (spherical 3D HO basis)

$$\hat{a}_k^\dagger = \sum_l \left(U_{lk}^{(n)} \hat{c}_l^{(n)\dagger} + V_{lk}^{(n)} \hat{c}_k^{(n)} + U_{lk}^{(p)} \hat{c}_l^{(p)\dagger} + V_{lk}^{(p)} \hat{c}_k^{(p)} \right)$$

$$a_k |\phi(q)\rangle = 0$$

Hartree-(Fock)-Bogoliubov equation

$$\begin{pmatrix} h_{nn} - \lambda_n & \Delta_{nn} & h_{np} & \Delta_{np} \\ -\Delta_{nn}^* & -h_{nn} + \lambda_n & -\Delta_{np}^* & -h_{np}^* \\ h_{pn} & \Delta_{pn} & h_{pp} - \lambda_p & \Delta_{pp} \\ -\Delta_{pn}^* & -h_{pn}^* & -\Delta_{pp}^* & -h_{pp} + \lambda_p \end{pmatrix} \begin{pmatrix} U_k^{(n)} \\ V_k^{(n)} \\ U_k^{(p)} \\ V_k^{(p)} \end{pmatrix} = E_k \begin{pmatrix} U_k^{(n)} \\ V_k^{(n)} \\ U_k^{(p)} \\ V_k^{(p)} \end{pmatrix}$$

λ_n and λ_p are determined simultaneously to satisfy the particle number expectation values

Projections

pairing condensation and deformation break particle number (**gauge**) symmetry and **rotational symmetry**

$$|\phi(q)\rangle = \cdots + |N-2\rangle + |N-1\rangle + |N\rangle + |N+1\rangle + |N+2\rangle + \cdots$$

$$|\phi(q)\rangle = |I=0\rangle + |I=1\rangle + |I=2\rangle + \cdots$$

← eigenstates of number/angular momentum

Particle number projection (PNP): method of residue (Fomenko method)

$$\hat{P}^N |\phi(q)\rangle = \frac{1}{2\pi} \int_0^{2\pi} d\phi e^{i\phi(\hat{N}-N)} |\phi(q)\rangle = |N\rangle$$

Angular momentum projection (AMP): 3-dim integration → 1-dim if axial symmetric
Gauss-Legendre integration

$$\hat{P}_{MK}^J |\phi(q)\rangle = \frac{2J+1}{8\pi^2} \int d\Omega \mathcal{D}_{MK}^J \hat{R}(\Omega) |\phi(q)\rangle$$

$$| \text{green circle} \rangle + | \text{green circle} \rangle + | \text{green circle} \rangle + | \text{green circle} \rangle + | \text{green circle} \rangle$$

$$|\phi_{I=0,M=0}^{N,Z}(q)\rangle = \hat{P}^N \hat{P}^Z \hat{P}_{M=0,K=0}^{I=0} |\phi(q)\rangle$$

- ❑ The most computationally demanding part
- ❑ performed in the two-body matrix elements calculations

Test calculation using SO(8) solvable model

SO(8) Hamiltonian

Model: Evans et al., Nucl. Phys. A **367** (1981) 77.

$$\hat{H}_{\text{SO}(8)} = -g \frac{1+x}{2} \sum_{\nu} \hat{S}_{\nu}^{\dagger} \hat{S}_{\nu} - g \frac{1-x}{2} \sum_{\mu} \hat{P}_{\mu}^{\dagger} \hat{P}_{\mu} + g_{\text{ph}} \sum_{\mu\nu} \hat{\mathcal{F}}_{\nu}^{\mu\dagger} \hat{\mathcal{F}}_{\nu}^{\mu}$$

isovector pairing isoscalar pairing sigma-tau force

$$\hat{S}_{\mu}^{\dagger} = \frac{1}{\sqrt{2}} \sum_l \sqrt{2l+1} [c_l^{\dagger} c_l^{\dagger}]_{S=0, (T, T_z)=(1, \mu)}^{L=0}$$

$$\hat{P}_{\mu}^{\dagger} = \frac{1}{\sqrt{2}} \sum_l \sqrt{2l+1} [c_l^{\dagger} c_l^{\dagger}]_{(S, S_z)=(1, \mu), T=0}^{L=0}$$

$$\hat{\mathcal{F}}_{\nu}^{\mu} = \frac{1}{\sqrt{2}} \sum_l \sqrt{2l+1} [c_l^{\dagger} \bar{c}_l]_{(S, S_z)=(1, \mu), T=(1, \nu)}^{L=0}$$

$$\bar{c}_{l, m_l, m_s, m_{\tau}} = (-1)^{l+1+m_l+m_s+m_{\tau}} c_{l, -m_l, -m_s, -m_{\tau}}$$

interaction parameter : $x(g_{\text{pp}})$, g_{ph}

$x=1$ or $g_{\text{pp}}=0$: isovector phase

$x=0$ or $g_{\text{pp}}=g_{\text{pair}}$: SU(4) spin-isospin symmetric

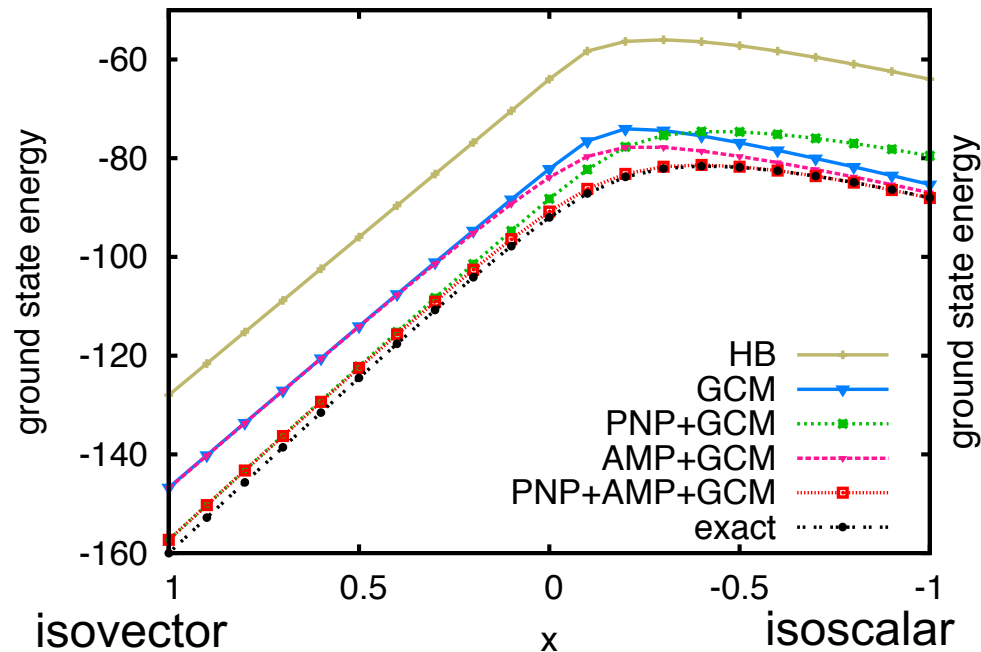
$x=-1$ or $g_{\text{pp}}=\infty$: isoscalar phase

$$g_{\text{pp}}/g_{\text{pair}} = (1-x) / (1+x)$$

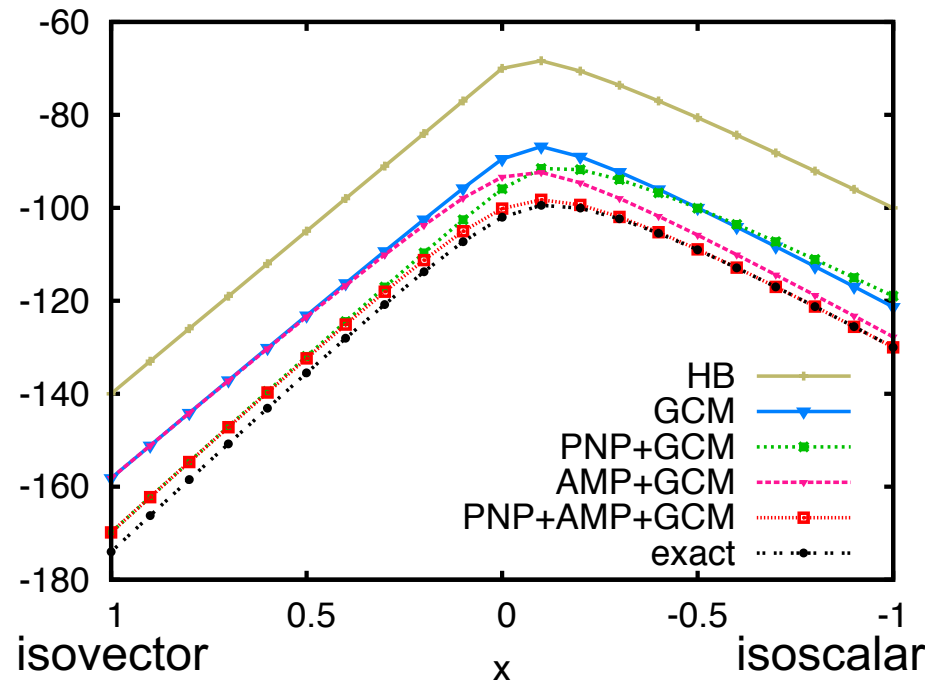
Ground state energy ($g_{ph}=0$)

generator coordinate: isoscalar pairing P_0 (1-dim GCM)

initial state : $T=4(N=16, Z=8)$



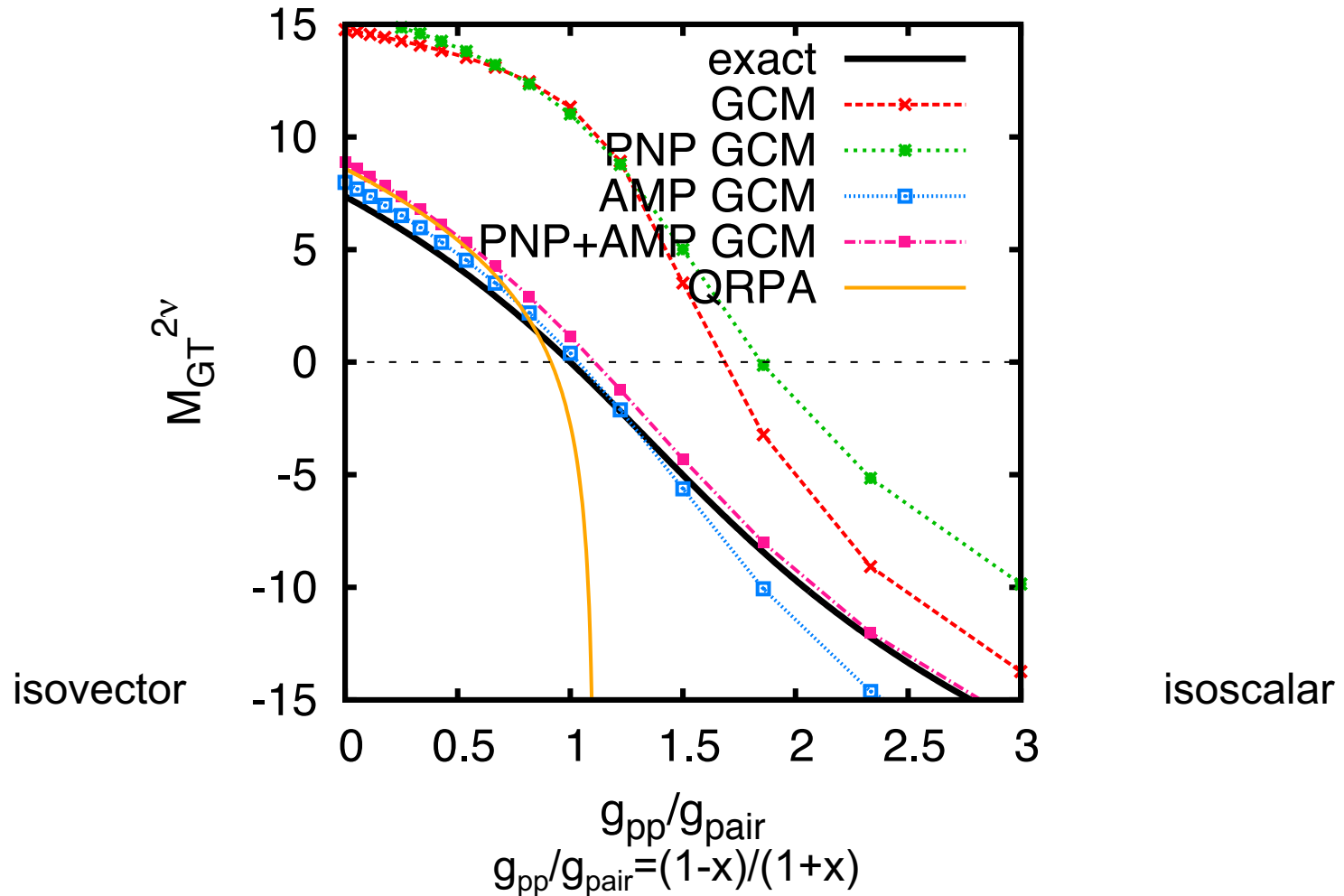
final state : $T=2(N=14, Z=10)$



GCM works even after the isovector-isoscalar phase transition (g.s. energy/NME)
isospin projection would be necessary to reproduce the isovector phase in this model

2v closure GT matrix element ($g_{ph}=1.5g_{pair}$)

$\Omega=12$ 、 $A=24$ 2v closure GT matrix element of $T=4 \rightarrow T=2$



GCM with neutron-proton pairing generator coordinate works well

$0\nu\beta\beta$ nuclear matrix element calculation

$$\langle f|M_{0\nu}|i\rangle \approx \langle f|M_{0\nu}^{\text{GT}}|i\rangle - \frac{g_V^2}{g_A^2} \langle f|M_{0\nu}^{\text{F}}|i\rangle$$

generator coordinates to be considered (important correlations)

- ❑ quadrupole deformation (axial deformation β , triaxial deformation γ)
- ❑ isovector pairing amplitudes (like-particle, nn and pp)
- ❑ isovector pairing amplitude (np)
- ❑ isoscalar pairing amplitudes (np, three spin components)
- ❑ Gamow-Teller correlation (particle-hole $\sigma\tau$, 9 components)

We assume axial symmetry of the system and
evaluate the Fermi and GT matrix elements separately

Fermi matrix element : β and isovector np amplitude

Gamow-Teller matrix element : β and isoscalar np amplitude ($S_z=0$)

$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$ $\beta\beta$ decay

NH and J. Engel, Phys. Rev. C **90**, 031301(R) (2014)

Hamiltonian

$$H = h_0 - \sum_{\mu=-1}^1 g_{\mu}^{T=1} S_{\mu}^{\dagger} S_{\mu} - \frac{\chi}{2} \sum_{K=-2}^2 Q_{2K}^{\dagger} Q_{2K} - g^{T=0} \sum_{\nu=-1}^1 P_{\nu}^{\dagger} P_{\nu} + g_{ph} \sum_{\mu,\nu=-1}^1 F_{\nu}^{\mu\dagger} F_{\nu}^{\mu}$$

sp energy

isovector
pairing

quadrupole
(QQ) interaction

isoscalar
pairing

Gamow-Teller
interaction

single-particle model space: HO $N_{\text{sh}}=3, 4$ (pf + sdg) shells, $\Omega=50$

parameters :

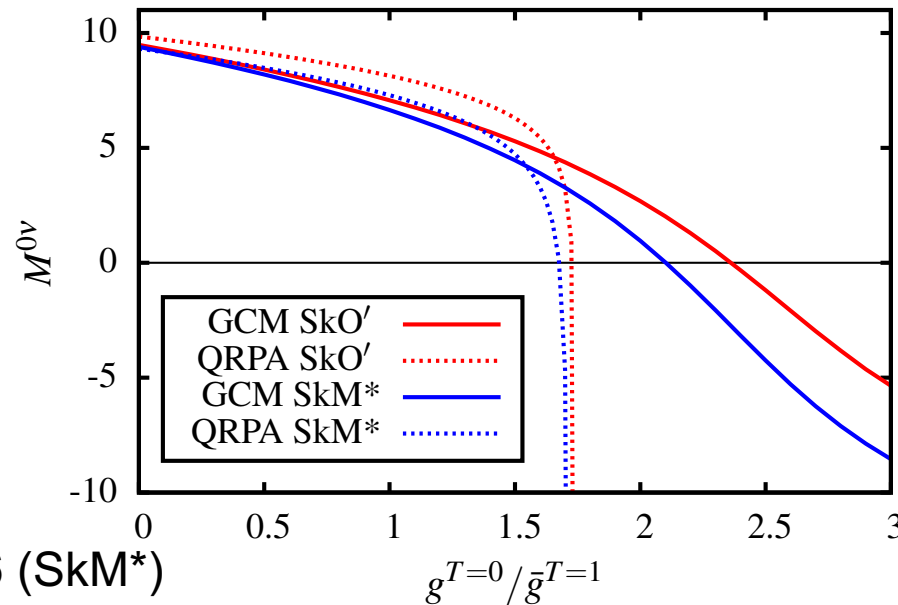
- ❑ sp energies、 $T=1$ pp,nn pairing strength (indep.)、 QQ force strength :
 - ❑ fitted to reproduce the Skyrme-HFB gaps and deformation (SkO' and SkM*)
- ❑ $T=1$ pn pairing strength: value that vanishes 2ν closure Fermi matrix element
 - ❑ from SU(4) symmetry
- ❑ Gamow-Teller interaction g_{ph} : GT- resonance peak energy of ^{76}Ge (Skyrme QRPA)
- ❑ $T=0$ pn pairing: from total β^+ strength of ^{76}Se

$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$ 0ν matrix element (1D GCM)

NH and J. Engel, Phys. Rev. C **90**, 031301(R) (2014)

generator coordinate: isoscalar pairing only,
without QQ force

$$\phi = \frac{\langle P_0 + P_0^\dagger \rangle}{2}$$



$g_{pp} = 1.47(\text{SkO}'), 1.56(\text{SkM}^*)$

QRPA: collapse near the phase transition $g_{pp} = g^{T=0}/g^{T=1} \sim 1.6$
GCM: smooth dependence on isoscalar pairing

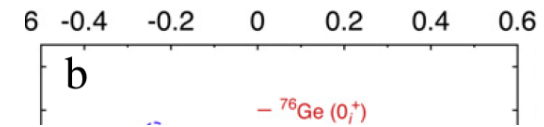
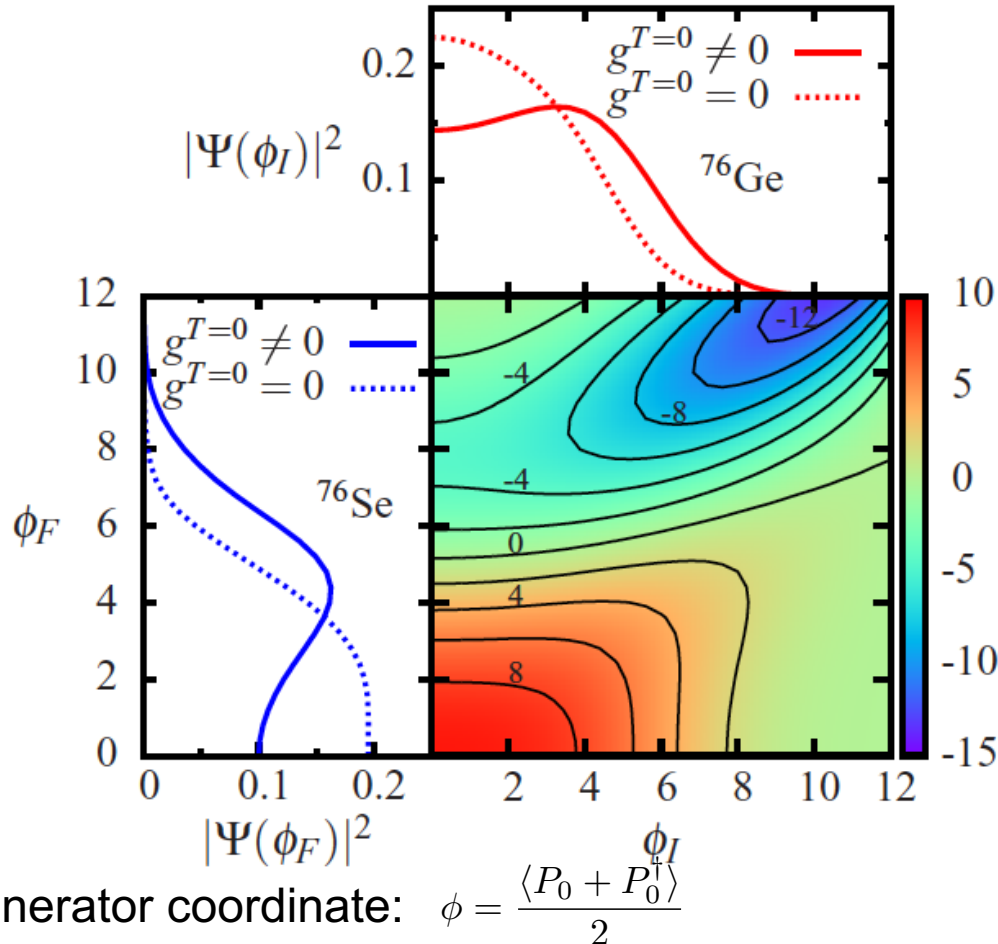
Skyme	no $g_{ph}/g^{T=0}$	no $g^{T=0}$	1D full	QRPA
SkO'	14.0	9.5	5.4	5.6
SkM*	11.8	9.4	4.1	3.5

+ $\sigma\tau$ correlation  + isoscalar pairing correlation 

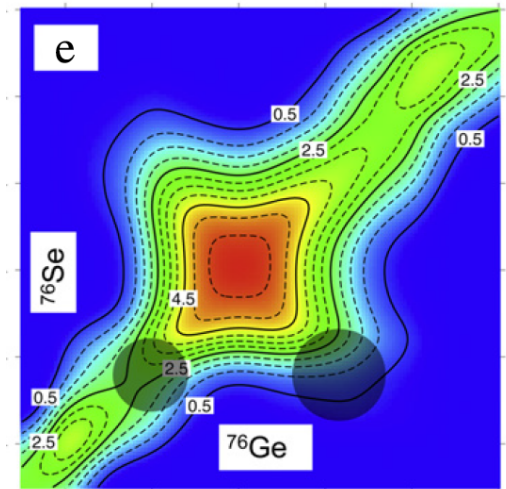
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$ 0ν matrix element (1D GCM)

$$M^{0\nu} = \langle N-2, Z+2, I=0 | \hat{M}^{0\nu} | N, Z, I=0 \rangle = \sum_{qq'} \frac{f_F^*(q) \mathcal{T}(q, q') f_I(q')}{\sqrt{\mathcal{I}_F(q, q) \mathcal{I}_I(q', q')}} = \sum_{qq'} f_F^*(q) \tilde{\mathcal{T}}(q, q') f_I(q')$$

matrix element and collective wave function squared



similar plot for β
(Rodriguez et al PPNP66 2011)

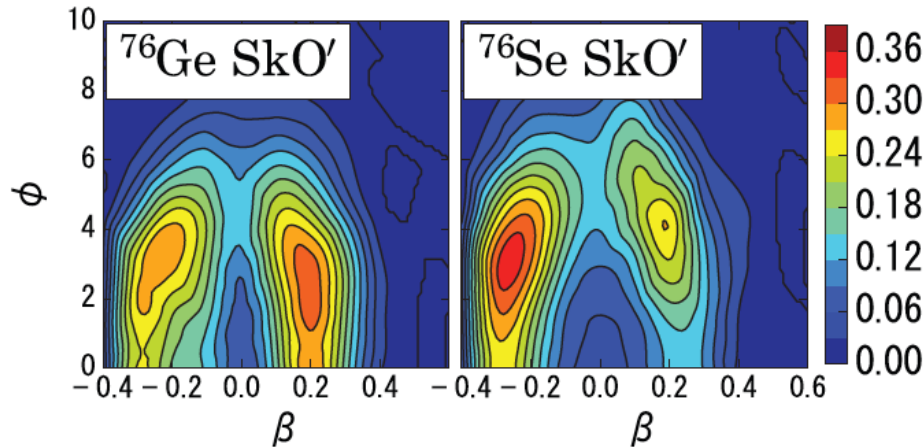


matrix element is large at the same deformation

- deformation: reduces the matrix element due to small initial/final state overlap
- isoscalar pairing: reduces the matrix element due to negative contribution

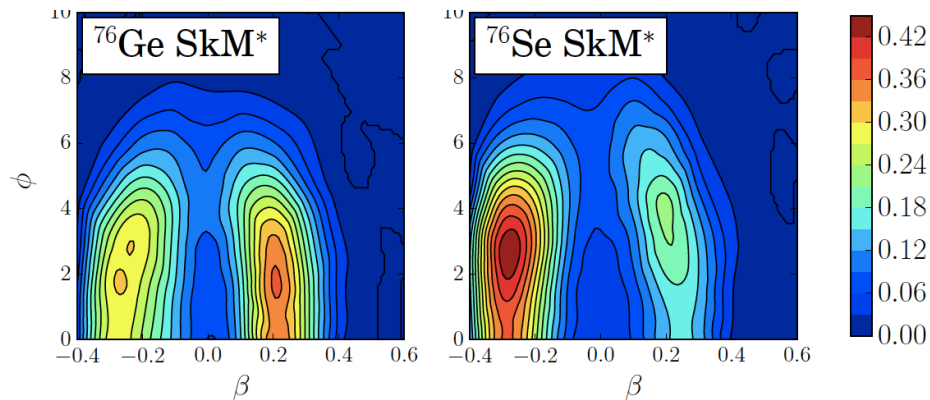
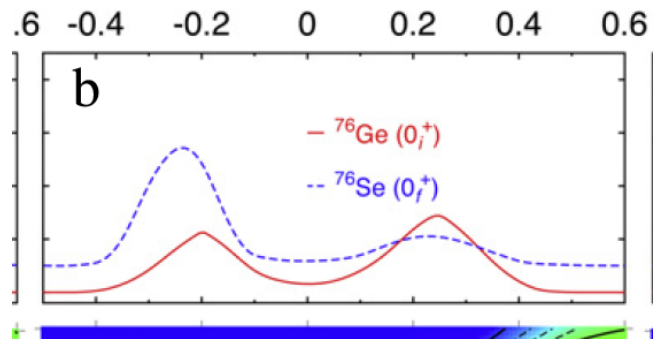
Inclusion of quadrupole deformation (2D GCM)

collective wave function squared



$$g_{pp} = 1.75(\text{SkO}'), 1.51 (\text{SkM}^*)$$

Rodríguez and Martinez-Pinedo
Prog. Part. Nucl. Phys. 66 (2011) 436.



matrix element

Skyrme	1D full	2D full	spherical QRPA
SkO'	5.4	4.7	5.6
SkM*	4.1	4.7	3.5

Gogny beta-GCM: 4.60

PRL **105**, 252503(2010)

Gogny beta+delta GCM: 5.55

PRL **111**, 142501(2013)

Skyrme pnQRPA SkM*: 5.1

PRC **87**, 064302(2013)

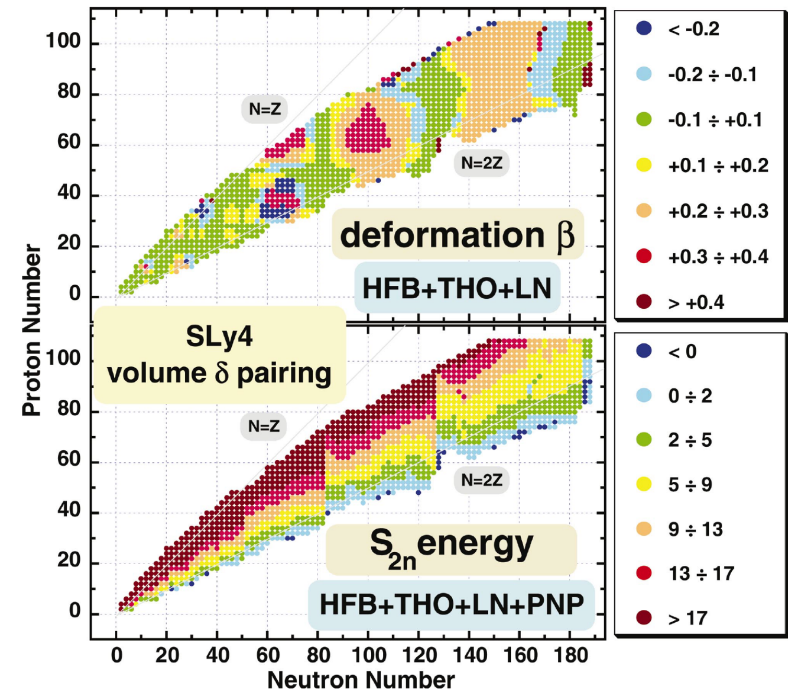
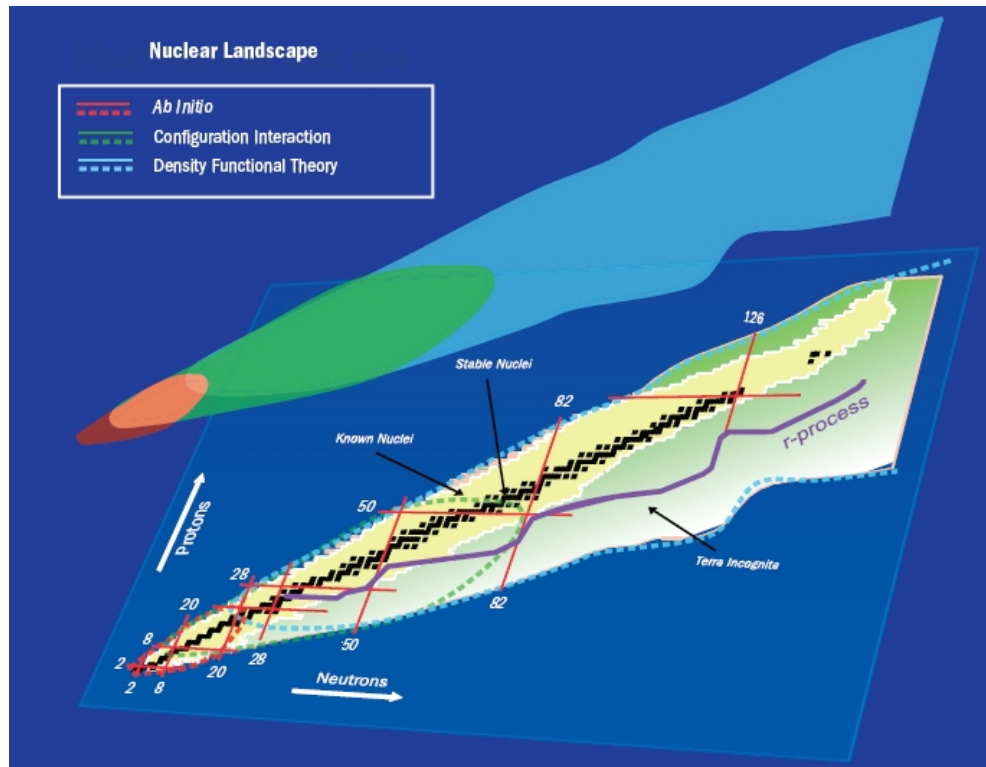
Covariant DFT beta-GCM: 6.13

PRC **91**, 024316(2015)

Future plans

things to be improved: **effective interaction**

- 1) Extension to Skyrme-DFT
- 2) Alternative approach to shell model for heavier system



Stoitsov et al., Phys. Rev. C **68**,054312 (2003)

Extension to Skyrme DFT

neutron-proton Skyrme DFT for GCM

□ isospin-invariant DFT (formulation : Perlińska et al., Phys. Rev. C **69**, 014316 (2004))

□ ph part: HFODD Sato, et al. Phys. Rev. C **88**, 061301 (2013)

□ HFBTHO Sheikh, NH et al., Phys. Rev. C **89**, 054317 (2014)

□ pairing part: in progress.. (HFBTHO)

□ determination of relevant coupling constants

□ optimization

Mustonen and Engel, Phys. Rev. C **93**, 104304 (2016)

□ projection problem

□ when density-dependent term is present

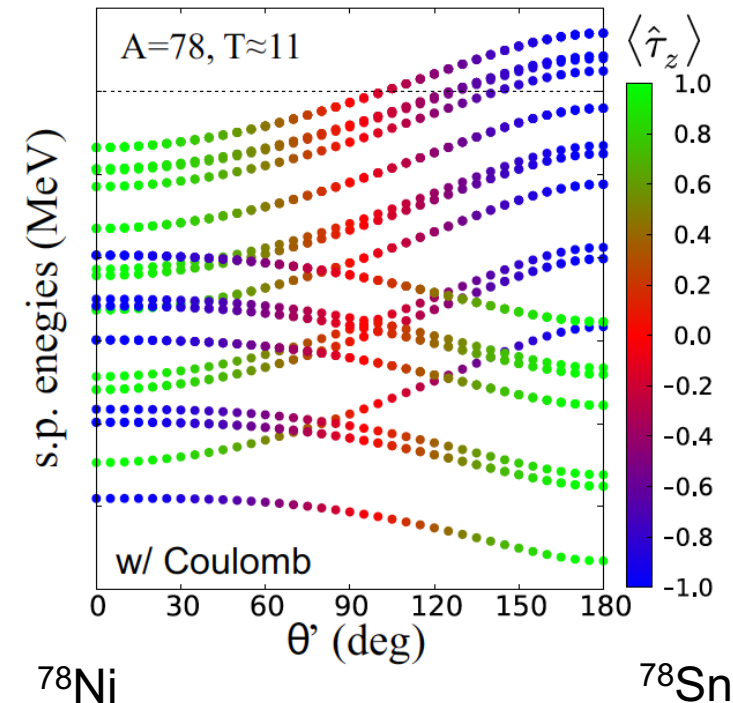
Dobaczewski et al., Phys. Rev. C **76**, 054315 (2007)

□ Regularization schemes

Lacroix, Duguet, Bender Phys. Rev. C **79** (2009)

Satula and Dobaczewski Phys. Rev. C **90**, 054303 (2014)

T=11 isobaric analogue states



Isoscalar pairing in shell model

Menéndez, NH et al., Phys. Rev. C **93**, 014305 (2016)

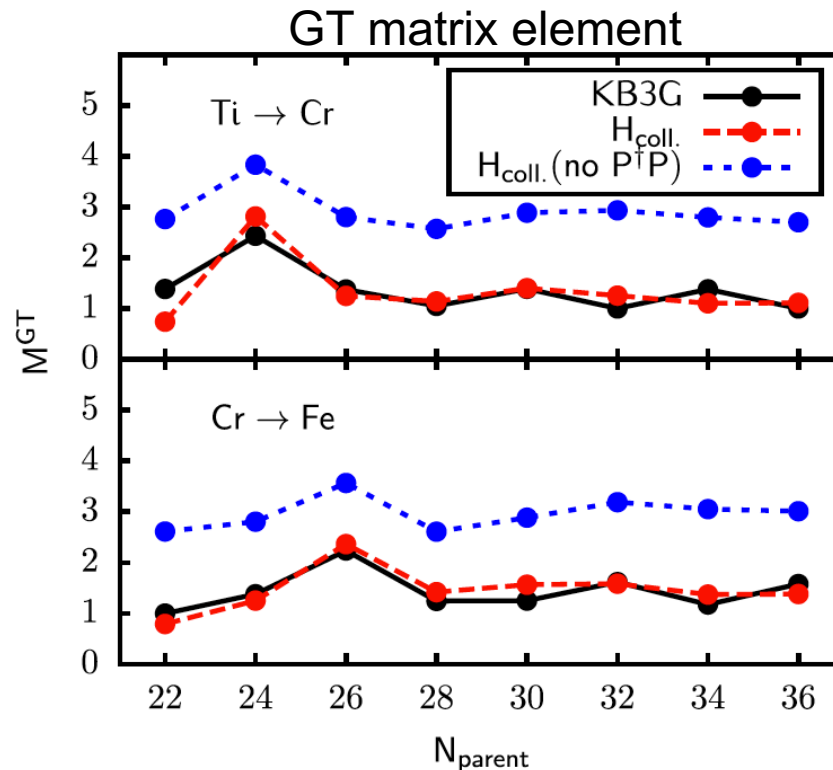
What is the contribution of the isoscalar pairing in the shell model calculation?

Shell model: KB3G interaction (black)

separable interaction derived from KB3G using Dufour and Zuker prescription (red)

Shell model without isoscalar pairing (blue)

Dufour and Zuker, Phys. Rev. C **54**, 1641 (1996)



- collective degrees of freedom (isoscalar pairing) play major role even in light systems
- suppression of the nuclear matrix element due to the isoscalar pairing

Comparison with shell model and GCM

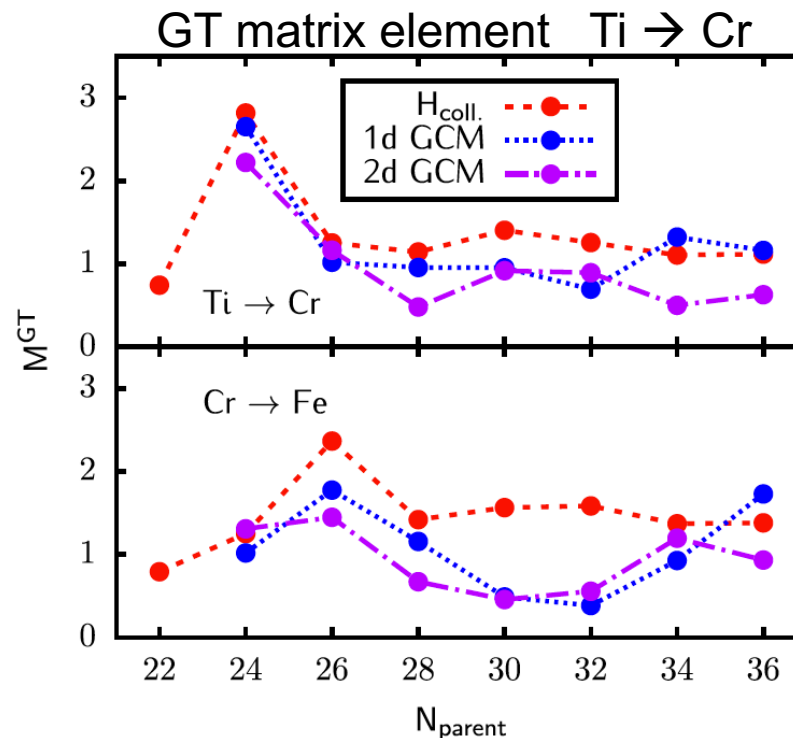
Menéndez, NH et al., Phys. Rev. C **93**, 014305 (2016)

Can we use GCM as an alternative to shell model for heavier system?

H_{coll} : shell model(separable interaction by Dufour and Zuker) (red)

1d GCM: isoscalar pairing (blue)

2d GCM: isoscalar pairing and quadrupole deformation (purple)



□ GCM with isoscalar pairing: good approximation to shell model

□ heavier system such as ^{136}Xe , ^{150}Nd

□ deviation around magic number: improvement necessary for the no-pairing gap states

Summary

- ❑ Generator coordinate method with neutron-proton pairing
 - ❑ large-amplitude approach for NME
 - ❑ large single-particle model space
 - ❑ suppression with neutron-proton pairing
- ❑ Extension to Skyrme-DFT GCM
- ❑ Comparison with shell model approach

Collaborators

❑ double-beta decay

❑ Jonathan Engel (UNC-CH, USA)

❑ Javier Menéndez (U. Tokyo, Japan)

❑ Gabriel Martínez-Pinedo (GSI, Germany)

❑ Tomás Rodríguez (Madrid, Spain)

❑ pnDFT

❑ Javid Sheikh (Kashmir Univ, India)

❑ Koichi Sato (Osaka City Univ. Japan)

❑ Takashi Nakatsukasa (Univ. Tsukuba, Japan)

❑ Jacek Dobaczewski (York, GB/Warsaw, Poland/Jyvaskyla, Finland)

❑ Witek Nazarewicz (NSCL/FRIB, MSU, USA)

Computational Resources

COMA(PACS-IX)

Center for Computational Sciences, Univ. Tsukuba

